

PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

62

Application Number

09/721,126

Filing Date

November 22, 2000

First Named Inventor

A. Paul Alivisatos

Art Unit

1754

Examiner Name

Bos, Steven

Attorney Docket Number

IB-1576-C.I.P.

FAX RECEIVED

DEC 22 2004

OFFICE OF PETITIONS**ENCLOSURES (Check all that apply)**

- ☒ Fee Transmittal Form
☐ Fee Attached
☐ Amendment/Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Reply to Missing Parts/Incomplete Application
☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Drawing(s)
☐ Licensing-related Papers
☒ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation
☐ Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____
☐ Landscape Table on CD

- ☐ After Allowance Communication
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☐ Other Enclosure(s) (please identify below):

Att'n:

Ms. Creasy

Remarks

8 sheets drawings; RCE Request
 substitute specification including marked up copy
 petition to withdraw from issue-issue fee paid (37 C.F.R. 1.313(c))

Petition to withdraw from issue

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Berkeley National Laboratory		
Signature	<i>C. R. Nold</i>		
Printed name	Charles R. Nold		
Date	12/21/2004	Reg. No.	46,470

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature	<i>C. R. Nold</i>		
Typed or printed name	Charles R. Nold	Date	12/21/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Practitioner's Docket No. IB-1576-C.I.P

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FAX RECEIVED

In re application of: A. Paul Alivisatos

DEC 22 2004

Application No.: 09/721,126

Group No.: 1754

Filed: 11/22/2000

Examiner: Bos, Steven

For: A process for making surfactant capped metal oxide nanocrystals, and products produced by the process

OFFICE OF PETITIONS

Date of mailing "Notice of Allowance and Base
Issue Fee Due" December 16, 2003

FAX RECEIVED

Batch No. unknown

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

OFFICE OF PETITIONS

PETITION FOR WITHDRAWAL FROM ISSUE—ISSUE FEE PAID
(37 C.F.R. § 1.313(c))

PETITION

1. Applicant hereby petitions for the withdrawal of this application from issue.

PATENT ISSUE FEE

2. The issue fee for this case has been paid on January 9, 2004.

REASON(S) FOR WITHDRAWAL REQUEST

3. The reason for the request for withdrawal from issue is:

(a) consideration of a request for continued examination under § 1.114 filed herewith.

Further details as to the reason(s) for this withdrawal request are set forth below:

After payment of issue fee, the draftsman required drawing changes to previously accepted drawings. The drawing changes necessitated amendments to the specification. A substitute specification was required. These amendments need to be part of a RCE application. The amendment does not add new matter.

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AMENDMENT

 **COPY**

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Alexandria, VA 22313-1450**PATENT
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AMENDMENT

 **COPY**

4. Accompanying this petition is an RCE and preliminary amendment which consists of:
- a. substitute specification
 - b. 8 replacement sheets drawings

PETITION FEES (37 C.F.R. § 1.17(b))

5. The fee set forth in § 1.17(h), required by 37 C.F.R. § 1.313(a), is paid as follows:

Authorization is hereby made to charge the amount of \$130.00 to Deposit Account No. 12-0690.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Date: 12/21/2004



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